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PTO/SB/21 (09-04) (AW 10/2004)

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TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/562,655
Filing Date	December 27, 2005 (Unofficial)
First Named Inventor	Kenjiro HAMANAKA, et al.
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	NSG-258US

ENCLOSURES (Check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form
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(Appeal Notice, Brief, Reply
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Remarks:

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm Name	Ratner Prestia		
Signature			
Printed Name	Kenneth N. Nigon		
Date	April 13, 2006	Registration No.	31,549

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Signature			
Typed or Printed Name	Juli Lawrence	Date	April 13, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No: 10/562,655
Applicant: Kenjiro HAMANAKA, et al.
Filed: December 27, 2005 (Unofficial)
Title: LENS-ATTACHED LIGHT-EMITTING ELEMENT AND METHOD FOR
MANUFACTURING THE SAME
TC/A.U.: Not Yet Assigned
Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

Mail Stop **AMENDMENT**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO/SB/08a submitted herewith. A copy of the reference(s) listed on the PTO/SB/08a, other than U.S. patents, is enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

References JP 2001-217467 and JP 2002-141556 are not in the English language, but an English translation of JP 2002-141556 is attached to the copy of the reference submitted herewith. Additionally, English abstracts for both JP 2001-217467 and JP 2002-141556 are attached to the copy of the reference submitted herewith.

These references were cited by a foreign patent office in a counterpart foreign application. A copy of this English language search report, indicating the degree of relevance determined by the foreign patent office is submitted herewith. MPEP Section 609(a)iii, second paragraph.

This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application or before mailing of the first Official Action. No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,


Kenneth N. Nigon, Reg. No. 31,549
Attorney for Applicants

KNN/jal

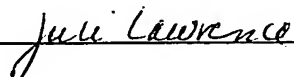
Enclosures: PTO/SB/08a
(2) References
Transmittal Form

Dated: April 13, 2006

P.O. Box 980
Valley Forge, PA 19482
(610) 407-0700

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Juli Lawrence

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 1

Complete if Known

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First Named Inventor	Kenjiro HAMANAKA, et al.
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket No.	NSG-258US

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)				
	1	JP 2001-217467 A	08/10/2001	Industrial Technology Research Inst.		<input type="checkbox"/>
	2	JP 2002-141556 A	05/17/2002	LumiLeds Lighting US, LLC		<input checked="" type="checkbox"/>
						<input type="checkbox"/>
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						<input type="checkbox"/>

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	3	International Search Report for Int'l Application No. PCT/JP2004/009265 dated Nov. 2, 2004	<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

¹Applicant's unique citation designation number (optional).

²See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

⁶Applicant is to place a check mark here if English language translation is attached.

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